New International Shipping Export Controls for China, Russia and Venezuela

Effective June 29, 2020, the U.S. government will implement expanded export license and export filing requirements for certain exports to China, Russia and Venezuela. You must notify the Export Control Officer prior to shipping anything, other than routine business documents (visa paperwork, books, published manuscripts, etc.), to these countries.

Pre-shipment notification to the U.S. government
Most consumer electronics and research related equipment that are being physically exported or hand-carried to China, Russia and Venezuela will now require an export filing in the Census Bureau’s Automated Export System (AES), regardless of the shipment’s value or whether an export license is required. These AES filings must be made by the Export Control Officer or via American Cargo Services, (for a fee). FedEx, UPS, and other shippers will not fulfill this filing requirement. Many common consumer products are listed on the Commerce Control List and include laptops, tablets, cell phones, cameras, etc.

Brian McCurdy, the campus Export Control Officer (exportcontrol@research.ucsb.edu), can assist you with an export filing determination and will also determine whether a license/license exception is required/available.

Expanded export license requirements
Some items, information (see below for exclusions) and software that fall into the broad categories below may now require an export license for these three countries. Your Export Control Officer can assist you with a determination as to whether an export license is required from the federal government.

- Materials, Chemicals, Microorganisms, and Toxins
- Materials Processing
- Electronics Design, Development and Production
- Computers
- Telecommunications Information Security
- Sensors and Lasers
- Navigation and Avionics
- Marine
- Propulsion Systems, Space Vehicles and Related Equipment

Please be aware that export licenses can take 2 months or longer to obtain from the federal government and the government may deny your request. Contact the Export Control Officer early for assistance to avoid delays.

For more information on the legal and administrative requirements for international shipping, please see the UCOP website on International Shipping.

Exclusions for Information
Technology that qualifies as publicly available in one of these three ways, does not require an export license. Technology is defined as technical information beyond general and basic marketing materials about a controlled commodity.

- Information that arises through fundamental research where the information is ordinarily published is considered publicly available, and;
- Information contained in a patent or published patent application, and;
- Information that is published and generally accessible or available to the public

Please see UCOP’s Frequently Asked Questions about Export Controls for more details.

Thank you,

Brian McCurdy
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